

# Fairness - Homework

October 26, 2023

## 1 Simpson's paradox

**Table 2.** Admissions data for the graduate programs in the six largest majors at University of California, Berkeley.

<i>Major</i>	<i>Men</i>		<i>Women</i>	
	<i>Number of applicants</i>	<i>Percent admitted</i>	<i>Number of applicants</i>	<i>Percent admitted</i>
A	825	62	108	82
B	560	63	25	68
C	325	37	593	34
D	417	33	375	35
E	191	28	393	24
F	373	6	341	7

Note: University policy does not allow these majors to be identified by name.  
Source: The Graduate Division, University of California, Berkeley.

1. What is the percentages of women/men admitted ? Does it seem biased?
2. Compare this result with the detailed percentages by department. Does it seem biased? How can you explain this result?

Size	Treatment A	Treatment B
Small	(96%) 84/87	(87%) 234/270
Large	(73%) 192/263	(68%) 55/80

## 2 Some fairness criteria

In the binary classification setting, let  $Y$  be the target variable,  $A$  the sensitive attribute and  $R$  the classifier. Recall that the triple  $(R, A, Y)$  satisfies the *separation criteria* if  $R \perp A \mid Y$  and the *sufficiency criteria* if  $Y \perp A \mid R$ .

1. Assume that  $R$  is a binary classifier and that there are only two groups. What does the separation criteria mean in terms of false positive rate and false negative rate for the two groups,  $a$  and  $b$ ?
2. If we observe the two group-level ROC curves, graphically, which point corresponds to the classifier satisfying the separation criteria?
3. We say that  $R$  satisfies *calibration by group* if for all  $r$  in the support of  $R$ :
 
$$\mathbb{P}(Y = 1 \mid R = r, A = a) = \mathbb{P}(Y = 1 \mid R = r, A = b) = r$$
 for all  $r$ . Show that: if  $R$  satisfies sufficiency, there exists a function  $\ell$  such that  $\ell(R)$  satisfies calibration by group.
4. Show that: if  $A$  is not independent of  $Y$  and  $R$  is not independent of  $Y$ , then independence and separation cannot both hold.